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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takeshi (nmi) Hoshida

Serial No.: 09/853,318

Filing Date: May 10, 2001

Group Art Unit: 2633

Examiner: Chau M. Nguyen

Title: RECEIVER AND METHOD FOR A MULTICHANNEL  
OPTICAL COMMUNICATION SYSTEM

RECEIVED

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Technology Center 2600

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*Willie Jiles*  
Willie Jiles

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

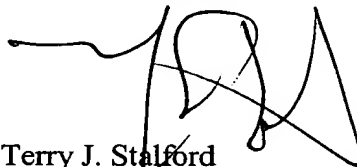
08/20/2004 HGUTEMA1 00000026 020384 09853318

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This Information Disclosure Statement is submitted after the first Office Action, but before the Final Office Action. Pursuant to 1.97(c) and 1.17(p), the stipulated fee of \$180.00 is enclosed herewith. The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicant

A handwritten signature in black ink, appearing to be 'TJ Stalford', written over a horizontal line.

Terry J. Stalford  
Reg. No. 39,522

Date: March 29, 2004

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PTO-1449

Application No.  
09/853,318Applicant(s)  
Takeshi (nmi) HoshidaInformation Disclosure Citation  
In an ApplicationDocket Number  
064731.0184Group Art Unit  
2633Filing Date  
May 10, 2001

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,515,196	05/07/1996	Kitajima et al.	359	180	04/02/1993
	B	5,644,664	07/01/1997	Burns et al.	385	2	06/06/1995
	C	5,907,421	05/25/1999	Warren et al.	359	180	03/20/1996
	D	5,912,755	06/15/1999	Bergano	359	181	02/08/1996
	E	6,163,394	12/19/2000	Webb	359	181	02/12/1998
	F	6,323,993 B1	11/27/2001	Hansen et al.	359	337	02/19/1999
	G	6,341,023 B1	01/22/2002	Puc	359	124	07/23/1999
	H	6,407,845 B2	06/18/2002	Nakamoto	359	239	02/22/2001
	I	6,417,958 B1	07/09/2002	Du et al.	359	334	01/24/2001
	J	6,459,515 B1	10/01/2002	Bergano	359	124	03/12/1999
	K	6,556,326 B2	04/29/2003	Bergano	359	181	01/17/2001
	L	6,556,327 B1	04/29/2003	Ohya et al.	359	183	11/05/1998
	M	6,559,996 B1	05/06/2003	Miyamoto et al.	359	181	07/28/1999
	N	6,618,352 B1	09/09/2003	Shirakata et al.	370	203	05/25/1999

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	O							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	Takato, et al., "Silica-Based Integrated Optic Mach-Zehnder Multi/Demultiplexer Family with Channel Spacing of 0.01-250 nm", IEEE Journal on Selected Areas in Communications, Vol. 8, No. 6, pp 1120-1127	08/1990
	Q		
	R		
	S		
	T		
	U		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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